

01-22-02

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

01/16/02



Packet No. : 47731/DMC/S965  
Inventor(s) : Bardia PEZESHKI  
Title : TUNABLE OPTICAL DEVICE USING A SCANNING MEMS MIRROR  
Express Mail Label No. : EL 818385626 US

J1017 U.S. PTO  
10/051391  
01/16/02

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: January 16, 2002

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application Nos. 60/262,445, filed January 16, 2001; and 60/263,591, filed January 22, 2001.

3. **APPLICATION COMPRISED OF**

**Specification**

20 Specification, claims and Abstract (total pages)

**Drawings**

7 Sheets of formal drawing(s) (FIGS. 1 to 7)

**Declaration and Power of Attorney**

\_\_\_\_\_ Newly executed  
☒ Unexecuted declaration  
\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_\_\_ Computer Readable Copy  
\_\_\_\_\_ Paper Copy (identical to computer copy)  
\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

**UTILITY PATENT APPLICATION TRANSMITTAL**  
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Docket No.: 47731/DMC/S965

**7. ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
  - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other


**8. CORRESPONDENCE ADDRESS**

***CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068***  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Daniel M. Cavanagh  
Reg. No. 41,661  
626/795-9900

DMC/rmw

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: January 16, 2002**

Docket No. : 47731/DMC/S965  
Inventor(s) : Bardia PEZESKI  
Title : TUNABLE OPTICAL DEVICE USING A SCANNING  
MEMS MIRROR

Duplicate     

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	30 - 20	10	x \$9.00	10 x \$18.00	\$180.00
INDEPENDENT CLAIMS	4 - 3	1	x \$42.00	1 x \$84.00	\$84.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$370.00	\$740.00	\$740.00
TOTAL FILING FEE					\$1,004.00
List Independent Claims: 1, 19, 29, 30					


**METHOD OF PAYMENT**

  X   Payment Enclosed: Check No. 003290 for \$1,004.00.

  X   The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Daniel M. Cavanagh  
Reg. No. 41,661  
626/795-9900